

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



80003.101

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification⁶ : C12N 1/21, 5/10</p>	<p>A1</p>	<p>(11) International Publication Number: WO 97/25410 (43) International Publication Date: 17 July 1997 (17.07.97)</p>
<p>(21) International Application Number: PCT/DK97/00014 (22) International Filing Date: 10 January 1997 (10.01.97) (30) Priority Data: 0018/96 10 January 1996 (10.01.96) DK (71) Applicant (<i>for all designated States except US</i>): NOVO NORDISK A/S [DK/DK]; Novo Allé, DK-2880 Bagsværd (DK). (72) Inventors; and (75) Inventors/Applicants (<i>for US only</i>): BORCHERT, Torben, Vedel [DK/DK]; Novo Nordisk a/s, Novo Allé, DK-2880 Bagsværd (DK). EHRLICH, Stanislas, Dusko [HR/FR]; Institut National de la Recherche Agronomique, F-78352 Jouy-en-Josas Cédex (FR). (74) Common Representative: NOVO NORDISK A/S; Corporate Patents, Novo Allé, DK-2880 Bagsværd (DK).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report.</i></p>
<p>(54) Title: A METHOD FOR IN VIVO PRODUCTION OF A MUTANT LIBRARY IN CELLS (57) Abstract <p>A method for <i>in vivo</i> production of a library in cells comprising a multitude of mutated genetic elements, wherein an error-prone polymerase is used in each ancestral cell to replicate all or a part of a genetic element independently of the host chromosomal replication machinery. The genetic element comprises i) an origin of replication from which replication is initiated, ii) optionally a genetic marker, e.g. a gene conferring resistance towards an antibiotic, iii) a gene encoding the polypeptide of interest. Also methods for the generation of a DNA sequence encoding a desired variant of a polypeptide of interest, and for the determination of such a DNA sequence are described.</p></p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

Title: A method for in vivo production of a mutant library in cells

5 FIELD OF THE INVENTION

The present invention relates to methods for in vivo production of libraries of polypeptide variants, the screening of these variants and selection of those exhibiting desired properties.
10 The invention furthermore relates to methods for producing the desired polypeptide variants.

BACKGROUND OF THE INVENTION

15 An increasing number of polypeptides, including enzymes and non-enzymatic proteins, are being produced industrially, for use in various industries, household, food/feed, cosmetics, medicine etc. One of the major sources for these proteins is and have been microorganism found in nature.

20

The classical approach for finding polypeptides with new and special properties, have been to screen wild type organisms present in nature. This has been a very successful way of procuring polypeptides to be used in such diverse areas as the
25 above mentioned applications.

However, often it has not been possible to produce such polypeptides in sufficient amounts because the quantities produced in the natural host systems were too minute to allow a
30 production, and even if the cost was no problem, difficulties could be encountered in providing sufficient amounts in relation to the demand (e.g. human growth hormone).

Such problems have to a large degree been overcome by the advent
35 of recombinant techniques for the production of polypeptides. In this art polypeptides are produced by the use of biological systems. Genes encoding certain polypeptides are cloned and

transferred into cells that will produce the polypeptides in quantities much larger than those, wherein they are produced in the original organism. Over the latest twenty years a large number of methods for the production of polypeptides according to
5 such techniques have been developed.

Often, proteins from natural sources do not meet the requirements for certain applications, and it will be necessary to modify existing proteins towards certain activities or biophysical
10 properties.

It is possible to generate new variants of a protein by classical mutagenesis of the microorganism using radiation (X-ray and UV) or chemical mutagens. However, since this approach is a very
15 labour and time consuming process, in the same last two decades researchers have been developing improvements on existing polypeptides by using more specific and selective recombinant techniques, such as protein and genetic engineering for creating artificial diversity.

20

Based upon considerations using knowledge of the structure-function relationships and general protein chemistry, researchers have come a long way in designing polypeptide variants exhibiting improvements in various properties.

25

However, it has also been realised that the various interactions into which polypeptides take part, are so complex that rational design according to such knowledge has serious limitations, and in recent years methods employing random mutagenesis followed by
30 screening of or selection from very large numbers of variants produced therefrom has gained interest.

For this purpose a microbial library of mutants is generated for subsequent expression and screening to determine variants
35 possessing the desired properties.

Over the years many both *in vitro* and *in vivo* DNA mutagenesis techniques for creating high numbers of different variants of polypeptides have been developed.

- 5 Considering the fact that a typical naturally occurring polypeptide consists of between 100 and 1000 amino acids, and each may be varied in 20 ways (only to stay within the naturally occurring amino acids), the number of possible variants for a specific polypeptide is enormous. Since the main parameter that
10 defines or measures the usefulness of a microbial collection or library used to identify improved variants of polypeptide is the number of different variants, N , which is comprised in the collection, a need for large libraries has emerged.
- 15 Especially in cases when a powerful selection system is available, the limiting factor for the identification of the desired polypeptide is the size of the library.

In *in vitro* systems the practical, state of art, limit for N is
20 about 10^8 . This is mainly due to inefficiency of transformation (introduction of DNA into the cell) of the manipulated DNA into the host organism. This number varies a lot from organism to organism: in the presently best case, *E. coli*, the usual efficiency of transformation of *in vitro* manipulated DNA, e.g. a
25 ligation of DNA fragments or chemical treatment of DNA, leads at the most to library sizes up to 10^8 bacteria (Greg Winter, *Current methods in Immunology* 5: 253-255, 1993). Very few examples of libraries of this size have been reported.

- 30 *In vitro* library constructions in other prokaryotes, such as *Bacillus* sp., *Streptococcus* sp. or *Staphylococcus* sp. will for practical reasons be orders of magnitude below this number.

Considering eukaryotic hosts such as *Saccharomyces cerevisiae* or
35 various *Aspergillus* sp., an even lower number of transformants can be expected from *in vitro* manipulated DNA.

A special case of a large library has been reported based on *in vivo* recombination between libraries of antibody light and heavy chains based on a specially designed system useful for that particular case (Griffiths, A.D. et al., 1994, *EMBO J.* 14: 3245-3260).

A number of methods are available to generate variants of a polypeptide in microorganisms *in vivo*, ranging from very simple, such as treating cells with chemical or physical mutagens, to rather complex, relying on cells that contain an error-prone DNA polymerase but lack the mismatch repair system which corrects the errors (Stratagene, XL1-red (*mutS*, *mutD*, *mutT*) Catalog #200129). But these techniques have a major drawback as the mutagenesis is not targeted to a specific part of the genome (coding for the polypeptide of interest) and high frequencies of mutations are generated also in essential genes for the cell as well as in the target gene, resulting in massive cell death, together with a high number of cells, where the mutations do not influence the polypeptide of interest. Such "noise" will limit the accumulation of mutations in the target region.

It is therefore the object of the invention to provide an *in vivo* target region-specific mutagenesis procedure in order to produce very large numbers, *N*, of polypeptide variants.

A second object of the invention relates to the screening or selection of variants with the desired properties, both by existing and future technologies.

30

SUMMARY OF THE INVENTION

The present invention therefore relates to a method for *in vivo* production of a library in cells comprising a multitude of mutated genetic elements, wherein an error-prone polymerase is

used in each ancestral cell to replicate all or a part of a genetic element comprising

- i) an origin of replication from which replication is initiated,
 - 5 ii) optionally a genetic marker, e.g. a gene conferring resistance towards an antibiotic,
 - iii) a gene encoding the polypeptide of interest, independently of the host chromosomal replication machinery.
- 10 The invention furthermore relates to a method for the generation of a DNA sequence encoding a desired variant of a polypeptide of interest, wherein
- i) a mutant library is produced by the above method,
 - ii) said library is cultivated under conditions conducive for
15 the expression of said gene of interest to produce polypeptide variants,
 - iii) said variant polypeptides are screened or selected for a desired property, and hosts producing such desired variants identified and isolated,
 - 20 iv) said genetic element in said hosts is sequenced to elucidate the DNA sequence of the mutant gene encoding a desired variant.

and a method for the determination of the DNA sequence encoding a
25 desired variant of a polypeptide of interest, wherein

- (i) a mutant library is produced by the above method,
- (ii) said library is cultivated under conditions conducive for the expression of said gene of interest to produce variant polypeptides,
- 30 (iii) said variant polypeptides are screened or selected for a desired property, and hosts producing desired variants identified and isolated,
- (iv) said genetic element in said hosts is sequenced to elucidate the DNA sequence of the mutant gene encoding a
35 desired variant.

The screening of the library or the selection of the variants depends on the specific polypeptide and which properties thereof it is desired to improve and/or retain. It is therefore necessary to set up a screening protocol for each case. Such protocols
5 involving a number of assays are described in the literature (Clackson et al., *Nature* 352:624-628, 1991, Bryan, P et al., *Proteins* 1:326-334, 1986).

An elegant approach to the combination of the generation of
10 diversity and the selection of variants with the desired properties would be a combination of the in vivo method of the invention for generating the diversity with a phage display system (Greg Winter, *Supra*).

15 A specific example of a polypeptide of interest is the alkaline proteases used in the detergent industry for the removal of proteinaceous stains from fabric. In that case the screening may be performed in actual detergent compositions to investigate properties such as thermal stability, oxidation stability,
20 storage stability, substrate specificity and affinity, stability to non-aqueous solvents, pH profile, ionic strength dependence, catalytic efficiency, and wash performance.

Furthermore the invention relates to a process for the production
25 of a desired polypeptide variant, wherein

- (i) a DNA sequence encoding a polypeptide of interest that has been determined according to the method above is introduced into a suitable host in a manner whereby it can be expressed in said host,
- 30 (ii) said host is cultivated under conditions conducive to the expression of said DNA sequence, and
- (iii) said polypeptide variant is recovered.

Methods for the introduction of the DNA sequence selected into
35 suitable host systems are described in, (Sambrook et al.

Molecular Cloning: A Laboratory Manual. Cold Spring Harbor Lab., Cold Spring Harbor, NY.).

- It is also within the abilities of the skilled person to select
5 suitable growth media and other conditions for the host system
selected that are conducive for the expression of the polypeptide
variant of interest. Guidance hereto may f. ex. be found in
(Sambrook et al., supra).
- 10 Also for the recovery of the polypeptide a large number of
methods are available for the separation and purification of
proteins, e.g. in (Scopes, R.K., Protein Purification (1987),
Springer-Verlag)
- 15 Lastly, the invention relates to the polypeptides produced by the
above method.

DETAILED DESCRIPTION OF THE INVENTION

20

The invention comprises a method to construct in vivo libraries
of variants in a gene of interest. The method involves the use of
a genetic element, such as a bacteriophage or a plasmid that is
able to replicate independently of the host chromosomal
25 replication system. By the use of the possibility to separate the
replication of the host chromosome and the replication of the
genetic element (phage/plasmid), it is possible by modifications
of one replication system to selectively introduce mutations in
the genetic element (phage/plasmid) keeping the chromosome of the
30 host intact. This means that the generation of variation in the
gene of interest does not compromise the viability of the host.

DNA replication is a highly accurate process, and the
misincorporation rate of the chromosomal replication in *E. coli*
35 has been estimated to be in the order of 10^{-10} pr. base pr. round
of replication. The base pairing carried out during DNA
replication leads to a preference for the polymerase to

incorporate the correct base at a certain position, which accounts for approximately 10^5 of the overall replication fidelity. If an incorrect base has been incorporated, the polymerase will stall and a 3'-5' exonuclease will often remove the 3' misincorporated base. This part denoted proof-reading accounts for approximately 10^2 of the overall replication fidelity. The repair system of the cell accounts for the last 10^3 of the fidelity rate.

- 10 Replication of the chromosome in *E. coli* is for the most part carried out by DNA polymerase III holoenzyme, which is a multi-protein complex containing 10 different polypeptides including a polymerase (alpha sub-unit, *polC* gene) and a 3'-5' exonuclease (*dnaQ* gene).

15

A further polymerase, DNA polymerase I (DNA pol I, *polA* gene), contains three different activities, viz. a DNA polymerase activity, a 3'-5' exonuclease activity, and a 5'-3' exonuclease activity despite the fact that it is one single polypeptide. This polymerase has several functions in the cell. Besides DNA repair, DNA pol I is also needed for the chromosomal DNA replication, as it is involved in the assembly of DNA fragments during synthesis of the lagging DNA strand. However, it replicates only a very minor portion of the genome.

25

This polymerase is furthermore involved in initiation of DNA replication of certain classes of plasmids, e.g. ColEI origin of replication-based plasmids such as pBR322 in *Escherichia coli* and Gram-negative bacteria or pAM β 1 like plasmids in Gram-positive bacteria. Such plasmids may be able to replicate completely through the activity of DNA polymerase I without DNA polymerase III being present in active form, e.g. if this enzyme is dysfunctional due to genetic causes, e.g. temperature sensitive variants at a non-permissive temperature), or under conditions where only limited amounts of DNA Polymerase III are present in the cell.

It is well known that certain mutations lead to a decrease (or an increase) in the fidelity of DNA replication. These mutations have been mapped to reside mainly in polymerases, exonucleases or
5 in elements of the repair system. Unfortunately, most of these mutations alter/impair the fidelity rate for the complete genome present, and such non-targeted mutations are not desirable.

One example of such a mutation could be an inactivation of the
10 3'-5' exonuclease activity of DNA pol I.

However, according to the invention use is being made of the fact that some elements in the replication system may be temporarily "switched" off, fully or partially, thereby stopping or greatly
15 slowing down the replication of the genome, while replication of certain genetic elements as defined herein is continued.

An *E. coli* strain containing a temperature sensitive DNA pol III (i.e. the polymerase α -sub-unit or another temperature sensitive
20 sub-unit that render the holoenzyme conditionally non-functional), or a function required for initiation of chromosomal replication, such as DnaA, an error prone DNA pol I and a colEI based plasmid containing a gene of interest, is an example of a genetic system according to the invention designed to specifi-
25 cally introduce mutations in the plasmid (and the gene of interest).

In such a system raising the temperature to a non-permissive value will have the effect that DNA pol III ceases to function
30 fully, while the error prone DNA pol I will retain its function and replicates the plasmid with reduced fidelity resulting in mutated copies of the plasmid.

Since the generation of mutations is random, each cell will
35 generate unique mutations and upon lowering the temperature, the temperature sensitive function will become active again, and normal replication of the cells continue.

A variation would be an *E. coli* strain with temperature sensitive alleles of polIII and polI and an inducible expression (by a *ts* repressor (temperature-sensitive) or by chemical induction) of the error-prone polymerase. At a restrictive temperature and the presence of the inducer mutations will accumulate in the genetic element. At permissive temperature and the absence of the inducer, the complete system functions as the wild type cell.

- 10 Accordingly the invention in its first aspect relates to a method for *in vivo* production of a mutant library in cells comprising a multitude of mutated genetic elements, wherein an error-prone polymerase is used in each ancestral cell to replicate all or a part of a genetic element comprising
- 15 i) an origin of replication from which replication is initiated,
 - ii) optionally a genetic marker, e.g. a gene conferring resistance towards an antibiotic,
 - iii) a gene encoding the polypeptide of interest,
- 20 independently of the host chromosomal replication machinery.

The invention consequently comprises a method for *in vivo* production of a library in cells comprising a multitude of mutated genetic elements comprising

- 25 A) providing a cell having
 - i) an error-prone polymerase that independently of the chromosomal replication machinery of said cell will replicate all or a part of a genetic element comprising
- 30 a) an origin of replication from which replication is initiated,
 - b) optionally a genetic marker, e.g. a gene conferring resistance towards an antibiotic,
 - c) a gene encoding the polypeptide of interest,
- 35 and

- ii) a chromosomal replication machinery that can be reversibly induced to be substantially non-functional,
- B) growing such a cell under conditions conducive to its replication to obtain a multitude of ancestral cells,
- C) reversibly inducing said chromosomal replication machinery in said ancestral cells to be substantially non-functional for a period of time sufficient to allow for the replication of said genetic element by said error-prone polymerase to generate mutations in said genetic element,
- D) reversibly inducing said chromosomal replication machinery in such mutated cells to be substantially functional, and
- E) growing such mutated cells under conditions conducive to their replication.

15

In this context the expression "mutant library" means a set of cells, bacteria or phages (typically 10^5 to 10^{11} cells or phages) that differs with respect to one particular gene encoding a polypeptide of interest. Typically one would like to introduce one or more different amino acid alterations in this particular polypeptide in each member of the library.

In this context the expression "error-prone polymerase" means a polymerase that during DNA replication will incorporate mistakes (one of the wrong nucleotides in a given position or cause a deletion or an insertion of one or several nucleotides) with higher frequency than the polymerase normally used for this purpose (e.g. E. coli DNA pol I, Bacillus subtilis DNA pol I, T4 DNA polymerase, T7 DNA polymerase).

30

The expression "ancestral cell" here means such cells wherein no mutations have been introduced. In some embodiments of the invention the mutation cycle may be reiterated, and in that case, such cells that were initially mutated become ancestral cells for the second mutation cycle, etc.

In this context the expression "host chromosomal replication machinery" means the DNA polymerase or DNA polymerase holoenzyme that is mainly responsible for the replication of the host chromosome, e.g. DNA polymerase III in *E. coli*.

5

In this context the expression "genetic element" means a small (from 1 or 2 kilo bases to 100 kilo bases) entity consisting of RNA or DNA, that is able to replicate independently, i.e. it contains an origin of replication. The genetic element would typically be a bacteriophage, a phagemid, or a plasmid. The genetic element must also according to the invention comprise a gene encoding the polypeptide of interest, and it may further comprise a genetic marker, e.g. a gene conferring resistance towards an antibiotic.

15

A virus, a retrovirus, or a transposon that is able to replicate independently of the host replication machinery, e.g. retrotransposons could also be used as the "genetic element".

20 Kim and Loeb (1995, *PNAS* 92: 684-688) have demonstrated that HIV reverse transcriptase (HIV-RT) is able to complement *E. coli* DNA pol I with respect to chromosomal DNA replication and initiation of plasmid DNA replication. The misincorporation rate of HIV-RT (and related retroviral reverse transcriptases) is several orders of magnitude higher than the rate of DNA pol I, i.e. 10^{-3} to 10^{-4} misincorporations pr. base pr. round of replication. The use of such a polymerase in stead of a mutated error prone *E. coli* DNA pol I in an embodiment of the invention would significantly increase the frequency of replication errors in the system described above.

In a further embodiment of the invention the mutation cycle described above can be reiterated, i.e. the mutagenic polymerase switched on and off several times, thereby generating even more mutants. Such a system could furthermore help the segregation of plasmids if a multicopy plasmid is used as the genetic element.

35

In certain genetic elements one can envision that only the part of the genetic element located in the vicinity of the origin of replication is replicated by the error-prone polymerase. In such cases, the gene of interest should be situated within this region.

In a specific embodiment of the invention the genetic element is a phage, wherein the gene encoding the polypeptide of interest is positioned at a locus where the polypeptide upon expression is displayed from the surface of the phage, whereby a screening can be performed directly (see Greg Winther, *supra*). To ensure the correspondence between DNA sequence of the phage and the protein displayed the primary phage stock should be passed through wild type *E. coli*, at low multiplicity of infection, prior to selection or screening.

To further increase the frequency of mutations, the method of the invention comprises embodiments where the method is used in conjunction with a repair deficient host, e.g. *mutL*, *mutS*, *mutH*, or a combination of mutator genome types.

In this context the expression "repair deficient host" means a cell containing one or more alterations in genes encoding proteins known to be directly or indirectly involved in the DNA repair. The result of such mutations is that a higher frequency of introduced mutations (by the polymerases, chemicals, X-ray, UV light, etc.) will not be repaired and will be "permanently" incorporated in the genome, the so called mutator phenotype. Examples of such genes are *mutL*, *mutS*, *mutH*, *mutT*.

As the genetic element one could as indicated above use a phagemid in stead of a plasmid in order to couple the variant generation to a display system, e.g. M13, f1, fd.

In this context the expression "phagemid" means a plasmid that besides its plasmid origin of replication contains a phage origin

of replication. phagemids are dependent of the conditions able to replicate as a plasmid or as a phage (upon infection with a helper-phage).

- 5 A phagemid based system would involve the construction of a phagemid containing:
- 1) a plasmid origin of replication, e.g. ColEI
 - 2) a M13 phage origin of replication
 - 3) a chimeric gene consisting of the gene of interest fused
10 to the gene encoding GIII protein. (Ørum, P. et al., Nucl. Acid. Res. 21: 4491-4498, 1993).

The first step would be the generation of diversity by growing/maintaining an *E. coli* strain transformed with this
15 phagemid as described above. The second step would be the infection with the helper phage in order to create single stranded phagemid that will be packed into phage particles. The phages displaying the variant proteins can then be subjected to a selection procedure.

20 Also, certain bacteriophages such as T4 or T7 in *Eschericia* or SPOII and Phi29 in *Bacillus* contain their own DNA polymerases, and according to the invention one could envision embodiments where the genetic element described above is a bacteriophage
25 containing an error-prone DNA polymerase.

According to the invention the error-prone polymerase is typically selected from the group comprising DNA polymerase I or reverse transcriptases. A preferred error-prone polymerase is a
30 variant of *E. coli* DNA polymerase I or HIV reverse transcriptase.

As a polypeptide of interest a large number is possible, and especially such polypeptides exhibiting biological activities could be mentioned. Among these are enzymes, hormones, receptors,
35 blood-clotting factors, anti-microbial agents, and other such

polypeptides important for the prophylaxis and treatment of various disorders and diseases in humans and animals.

Also, enzymes used for industrial purposes could be mentioned.

5 Among such industrial enzymes, enzymes belonging to the groups carbonyl hydrolases, carbohydrases, oxidoreductases, transferases, phytases, anti-microbial polypeptides, oxidoreductases, isomerases, lyases, and ligases.

10 In this context the expression "carbonyl hydrolase" means enzymes that hydrolyze compounds containing a $-C(=O)-X$ group, where X is oxygen or nitrogen.

Specific classes of enzymes belonging to the group of carbonyl
15 hydrolases are such as hydrolases (lipases) and peptide hydrolases (proteases).

Proteases are here meant as enzymes classified under the Enzyme Classification number E.C. 3.4 in accordance with the
20 Recommendations (1992) of the International Union of Biochemistry and Molecular Biology (IUBMB).

Examples include proteases selected from those classified under the Enzyme Classification (E.C.) numbers:

25

3.4.11 (i.e. so-called aminopeptidases), including 3.4.11.5 (Prolyl aminopeptidase), 3.4.11.9 (X-pro aminopeptidase), 3.4.11.10 (Bacterial leucyl aminopeptidase), 3.4.11.12 (Thermophilic aminopeptidase), 3.4.11.15 (Lysyl aminopeptidase),
30 3.4.11.17 (Tryptophanyl aminopeptidase), 3.4.11.18 (Methionyl aminopeptidase).

3.4.21 (i.e. so-called serine endopeptidases), including 3.4.21.1 (Chymotrypsin), 3.4.21.4 (Trypsin), 3.4.21.25 (Cucumisin),
35 3.4.21.32 (Brachyurin), 3.4.21.48 (Cerevisin) and 3.4.21.62 (Subtilisin);

3.4.22 (i.e. so-called cysteine endopeptidases), including
3.4.22.2 (Papain), 3.4.22.3 (Ficain), 3.4.22.6 (Chymopapain),
3.4.22.7 (Asclepain), 3.4.22.14 (Actinidain), 3.4.22.30
5 (Caricain) and 3.4.22.31 (Ananain);

3.4.23 (i.e. so-called aspartic endopeptidases), including
3.4.23.1 (Pepsin A), 3.4.23.18 (Aspergillopepsin-I), 3.4.23.20
(Penicillopepsin) and 3.4.23.25 (Saccharopepsin); and

10

3.4.24 (i.e. so-called metallo endopeptidases), including
3.4.24.28 (Bacillolysin).

Examples of relevant subtilisins comprise subtilisin BPN',
15 subtilisin amylosacchariticus, subtilisin 168, subtilisin
mesentericopeptidase, subtilisin Carlsberg, subtilisin DY,
subtilisin 309, subtilisin 147, thermitase, aqualysin, Bacillus
PB92 protease, proteinase K, Protease TW7, and Protease TW3.

20 Specific examples of such readily available commercial proteases
include Esperase®, Alcalase®, Neutrase®, Dyrzym®, Savinase®,
Pyrase®, Pancreatic Trypsin NOVO (PTN), Bio-Feed™ Pro, Clear-
Lens Pro (all enzymes available from Novo Nordisk A/S).

25 Examples of other commercial proteases include Maxatase®,
Maxacal®, Maxapem® marketed by Gist-Brocades N.V., Opticlean®
marketed by Solvay et Cie. and Purafect® marketed by Genencor
International.

30 It is to be understood that also protease variants are
contemplated as the polypeptide of interest. Examples of such
protease variants are disclosed in EP 130.756 (Genentech), EP
214.435 (Henkel), WO 87/04461 (Amgen), WO 87/05050 (Genex), EP
251.446 (Genencor), EP 260.105 (Genencor), Thomas et al., (1985),
35 Nature. 318, p. 375-376, Thomas et al., (1987), J. Mol. Biol.,
193, pp. 803-813, Russel et al., (1987), Nature, 328, p. 496-500,

WO 88/08028 (Genex), WO 88/08033 (Amgen), WO 89/06279 (Novo Nordisk A/S), WO 91/00345 (Novo Nordisk A/S), EP 525 610 (Solvay) and WO 94/02618 (Gist-Brocades N.V.).

- 5 The activity of proteases can be determined as described in "Methods of Enzymatic Analysis", third edition, 1984, Verlag Chemie, Weinheim, vol. 5.

Lipases are here meant as enzymes classified under the Enzyme
10 Classification number E.C. 3.1.1 (Carboxylic Ester Hydrolases) in accordance with the Recommendations (1992) of the International Union of Biochemistry and Molecular Biology (IUBMB).

Examples include lipases selected from those classified under the
15 Enzyme Classification (E.C.) numbers:

3.1.1 (i.e. so-called Carboxylic Ester Hydrolases), including
(3.1.1.3) Triacylglycerol lipases, (3.1.1.4.) Phospholipase A₂.

- 20 Examples of lipases include lipases derived from the following microorganisms. The indicated patent publications are incorporated herein by reference:

Humicola, e.g. *H. brevispora*, *H. lanuginosa*, *H. brevis* var. *thermoidea* and *H. insolens* (US 4,810,414)

25

Pseudomonas, e.g. *Ps. fragi*, *Ps. stutzeri*, *Ps. cepacia* and *Ps. fluorescens* (WO 89/04361), or *Ps. plantarii* or *Ps. gladioli* (US patent no. 4,950,417 (Solvay enzymes)) or *Ps. alcaligenes* and *Ps. pseudoalcaligenes* (EP 218 272) or *Ps. mendocina* (WO 88/09367; US

30 5,389,536).

Fusarium, e.g. *F. oxysporum* (EP 130,064) or *F. solani pisi* (WO 90/09446).

- 35 *Mucor* (also called *Rhizomucor*), e.g. *M. miehei* (EP 238 023).

Chromobacterium (especially *C. viscosum*)

Aspergillus (especially *A. niger*).

- 5 *Candida*, e.g. *C. cylindracea* (also called *C. rugosa*) or *C. antarctica* (WO 88/02775) or *C. antarctica* lipase A or B (WO 94/01541 and WO 89/02916).

Geotricum, e.g. *G. candidum* (Schimada et al., (1989), J.
10 *Biochem.*, 106, 383-388)

Penicillium, e.g. *P. camembertii* (Yamaguchi et al., (1991), *Gene* 103, 61-67).

- 15 *Rhizopus*, e.g. *R. delemar* (Hass et al., (1991), *Gene* 109, 107-113) or *R. niveus* (Kugimiya et al., (1992) *Biosci. Biotech. Biochem* 56, 716-719) or *R. oryzae*.

Bacillus, e.g. *B. subtilis* (Dartois et al., (1993) *Biochemica et*
20 *Biophysica acta* 1131, 253-260) or *B. stearothermophilus* (JP 64/7744992) or *B. pumilus* (WO 91/16422).

Specific examples of readily available commercial lipases include
Lipolase®, Lipolase™ Ultra, Lipozyme®, Palatase®, Novozym® 435,
25 Lecitase® (all available from Novo Nordisk A/S).

Examples of other lipases are Lumafast™, *Ps. mendocina* lipase from Genencor Int. Inc.; Lipomax™, *Ps. pseudoalcaligenes* lipase from Gist Brocades/Genencor Int. Inc.; *Fusarium solani* lipase
30 (cutinase) from Unilever; *Bacillus* sp. lipase from Solvay enzymes. Other lipases are available from other companies.

The activity of the lipase can be determined as described in "Methods of Enzymatic Analysis", Third Edition, 1984, Verlag Chemie, Weinheim, vol. 4, or as described in AF 95/5 GB (available on request from Novo Nordisk A/S).

In this context the expression "carbohydrase" means all enzymes capable of breaking down carbohydrate chains (e.g. starches) of especially five and six member ring structures (i.e. enzymes classified under the Enzyme Classification number E.C. 3.2 (glycosidases) in accordance with the Recommendations (1992) of the International Union of Biochemistry and Molecular Biology (IUBMB)). Also included in the group of carbohydrases according to the invention are enzymes capable of isomerizing carbohydrates e.g. six member ring structures, such as D-glucose to e.g. five member ring structures like D-fructose.

Examples include carbohydrases selected from those classified under the Enzyme Classification (E.C.) numbers:

20 α -amylase (3.2.1.1) β -amylase (3.2.1.2), glucan 1,4- α -glucosidase (3.2.1.3), cellulase (3.2.1.4), endo-1,3(4)- β -glucanase (3.2.1.6), endo-1,4- β -xylanase (3.2.1.8), dextranase (3.2.1.11), chitinase (3.2.1.14), polygalacturonase (3.2.1.15),
25 lysozyme (3.2.1.17), β -glucosidase (3.2.1.21), α -galactosidase (3.2.1.22), β -galactosidase (3.2.1.23), amylo-1,6-glucosidase (3.2.1.33), xylan 1,4--xylosidase (3.2.1.37), glucan endo-1,3- β -D-glucosidase (3.2.1.39), α -dextrin endo-1,6-glucosidase (3.2.1.41), sucrose α -glucosidase (3.2.1.48), glucan endo-1,3- α -
30 glucosidase (3.2.1.59), glucan 1,4- β -glucosidase (3.2.1.74), glucan endo-1,6- β -glucosidase (3.2.1.75), arabinan endo-1,5- α -arabinosidase (3.2.1.99), lactase (3.2.1.108), chitonanase (3.2.1.132) and xylose isomerase (5.3.1.5).

35 Examples of relevant carbohydrases include α -1,3-glucanases derived from *Trichoderma harzianum*; α -1,6-glucanases derived from

arabinosidase (3.2.1.99), lactase (3.2.1.108), chitonanase (3.2.1.132) and xylose isomerase (5.3.1.5).

Examples of relevant carbohydrases include α -1,3-glucanases
5 derived from *Trichoderma harzianum*; α -1,6-glucanases derived from
a strain of *Paecilomyces*; β -glucanases derived from *Bacillus subtilis*; β -glucanases derived from *Humicola insolens*; β -glucanases derived from *Aspergillus niger*; β -glucanases derived from a strain of *Trichoderma*; β -glucanases derived from a strain
10 of *Oerskovia xanthineolytica*; exo-1,4- α -D-glucosidases (gluco-
amylases) derived from *Aspergillus niger*; α -amylases derived from *Bacillus subtilis*; α -amylases derived from *Bacillus amyloliquefaciens*; α -amylases derived from *Bacillus stearothermophilus*; α -amylases derived from *Aspergillus oryzae*;
15 α -amylases derived from non-pathogenic microorganisms; α -
galactosidases derived from *Aspergillus niger*; Pentosanases,
xylanases, cellobiases, cellulases, hemi-cellulases derived from *Humicola insolens*; cellulases derived from *Trichoderma reesei*;
cellulases derived from non-pathogenic mold; pectinases,
20 cellulases, arabinases, hemi-cellulases derived from *Aspergillus niger*; dextranases derived from *Penicillium lilacinum*; endo-
glucanase derived from non-pathogenic mold; pullulanases derived from *Bacillus acidopulliticus*; β -galactosidases derived from *Kluyveromyces fragilis*; xylanases derived from *Trichoderma*
25 *reesei*;

Specific examples of readily available commercial carbohydrases include Alpha-Gal™, Bio-Feed™ Alpha, Bio-Feed™ Beta, Bio-Feed™ Plus, Bio-Feed™ Plus, Novozyme® 188, Carezyme®, Celluclast®,
30 Cellusoft®, Ceremyl®, Citrozym™, Denimax™, Dezyme™, Dextrozyme™, Finizym®, Fungamyl™, Gamanase™, Glucanex®, Lactozym®, Maltogenase™, Pentopan™, Pectinex™, Promozyme®, Pulpzyme™, Novamyl™, Termamyl®, AMG (Amyloglucosidase Novo),

Maltogenase®, Sweetzyme®, Aquazym® (all enzymes available from Novo Nordisk A/S). Other carbohydrases are available from other companies.

- 5 It is to be understood that also variants of such carbohydrases are contemplated as the polypeptide of interest.

The activity of carbohydrases can be determined as described in "Methods of Enzymatic Analysis", third edition, 1984, Verlag
10 Chemie, Weinheim, vol. 4.

Oxidoreductases are here meant to be enzymes classified under the Enzyme Classification number E.C. 1 (Oxidoreductases) in accordance with the Recommendations (1992) of the International
15 Union of Biochemistry and Molecular Biology (IUBMB).

Examples include oxidoreductases selected from those classified under the Enzyme Classification (E.C.) numbers:

Glycerol-3-phosphate dehydrogenase (NAD⁺) (1.1.1.8), Glycerol-3-phosphate
20 phosphate dehydrogenase NAD(P)⁺ (1.1.1.94), Glycerol-3-phosphate 1-dehydrogenase (NADP) (1.1.1.94), Glucose oxidase (1.1.3.4), Hexose oxidase (1.1.3.5), Catechol oxidase (1.1.3.14), Bilirubin oxidase (1.3.3.5), Alanine dehydrogenase (1.4.1.1), Glutamate dehydrogenase (1.4.1.2), Glutamate dehydrogenase (NAD(P)⁺)
25 (1.4.1.3), Glutamate dehydrogenase (NADP⁺) (1.4.1.4), L-Amino acid dehydrogenase (1.4.1.5), Serine dehydrogenase (1.4.1.7), Valine dehydrogenase (NADP⁺) (1.4.1.8), Leucine dehydrogenase (1.4.1.9), Glycine dehydrogenase (1.4.1.10), L-Amino-acid oxidase (1.4.3.2.), D-Amino-acid oxidase (1.4.3.3), L-Glutamate oxidase
30 (1.4.3.11), Protein-lysine 6-oxidase (1.4.3.13), L-lysine oxidase (1.4.3.14), L-Aspartate oxidase (1.4.3.16), D-amino-acid dehydrogenase (1.4.99.1), Protein disulfide reductase (1.6.4.4), Thioredoxin reductase (1.6.4.5), Protein disulfide reductase (glutathione) (1.8.4.2), Laccase (1.10.3.2), Catalase (1.11.1.6),
35 Peroxidase (1.11.1.7), Lipoxxygenase (1.13.11.12), Superoxide dismutase (1.15.1.1)

Said Glucose oxidases may be derived from *Aspergillus niger*.

Said Laccases may be derived from *Polyporus pinsitus*,
Myceliophthora thermophila, *Coprinus cinereus*, *Rhizoctonia solani*,
5 *Rhizoctonia praticola*, *Scytalidium thermophilum* and *Rhus*
vernicifera.

Bilirubin oxidases may be derived from *Myrothechecium verrucaria*.

10 The Peroxidase may be derived from e.g. Soy bean, Horseradish or
Coprinus cinereus.

The Protein Disulfide reductase may be any mentioned in any of
the DK patent applications no. 768/93, 265/94 and 264/94 (Novo
15 Nordisk A/S), which are hereby incorporated as reference, inclu-
ding Protein Disulfide reductases of bovine origin, Protein
Disulfide reductases derived from *Aspergillus oryzae* or *Asper-*
gillus niger, and DsbA or DsbC derived from *Escherichia coli*.

20 Specific examples of readily available commercial oxidoreductases
include Gluzyme™ (enzyme available from Novo Nordisk A/S).
However, other oxidoreductases are available from others.

It is to be understood that also variants of oxidoreductases are
25 contemplated as the polypeptide of interest.

The activity of oxidoreductases can be determined as described in
"Methods of Enzymatic Analysis", third edition, 1984, Verlag
Chemie, Weinheim, vol. 3.

30

In this context transferases are enzymes classified under the
Enzyme Classification number E.C. 2 in accordance with the
Recommendations (1992) of the International Union of Biochemistry
and Molecular Biology (IUBMB).

35

The transferases may be any transferase in the subgroups of transferases: transferases transferring one-carbon groups (E.C. 2.1); transferases transferring aldehyde or residues (E.C. 2.2); acyltransferases (E.C. 2.3); glucosyltransferases (E.C. 2.4);
5 transferases transferring alkyl or aryl groups, other than methyl groups (E.C. 2.5); transferases transferring nitrogenous groups (2.6).

In a preferred embodiment the transferase is a transglutaminase
10 E.C. 2.3.2.13 (Protein-glutamine γ -glutamyltransferase).

Transglutaminases are enzymes capable of catalysing an acyl transfer reaction in which a γ -carboxamide group of a peptide-bound glutamine residue is the acyl donor. Primary amino groups
15 in a variety of compounds may function as acyl acceptors with the subsequent formation of mono-substituted γ -amides of peptide-bound glutamic acid. When the epsilon-amino group of a lysine residue in a peptide-chain serves as the acyl acceptor, the transferases form intramolecular or intermolecular γ -glutamyl- ϵ -
20 lysyl crosslinks.

Examples of transglutaminases are described in the pending DK patent application no. 990/94 (Novo Nordisk A/S).

25 The transglutaminase may be of human, animal (e.g. bovine) or microbially origin.

Examples of such transglutaminases are animal derived transglutaminases, FXIIIa; microbial transglutaminases derived
30 from *Physarum polycephalum* (Klein et al., *Journal of Bacteriology*, 174, p. 2599-2605); transglutaminases derived from *Streptomyces* sp., including *Streptomyces lavendulae*, *Streptomyces lydicus* (former *Streptomyces libani*) and *Streptoverticillium* sp., including *Streptoverticillium mobaraense*, *Streptoverticillium*
35 *cinnamomeum*, and *Streptoverticillium griseocarneum* (Motoki et al., US 5,156,956; Andou et al., US 5,252,469; Kaempfer et al.,

Journal of General Microbiology, 137, 1831-1892; Ochi et al., *International Journal of Sytematic Bacteriology*, 44, 285-292; Andou et al., US 5,252,469; Williams et al., *Journal of General Microbiology*, 129, 1743-1813).

5

It is to be understood that also transferase variants are contemplated as the polypeptide of interest.

The activity of transglutaminases can be determined as described
10 in "Methods of Enzymatic Analysis", third edition, 1984, Verlag Chemie, Weinheim, vol. 1-10.

In this context phytases are enzymes classified under the Enzyme
Classification number E.C. 3.1.3 (Phosphoric Monoester
15 Hydrolases) in accordance with the Recommendations (1992) of the
International Union of Biochemistry and Molecular Biology
(IUBMB).

Phytases are enzymes produced by microorganisms which catalyse
20 the conversion of phytate to inositol and inorganic phosphorus

Phytase producing microorganisms comprise bacteria such as
Bacillus subtilis, *Bacillus natto* and *Pseudomonas*; yeasts such as
Saccharomyces cerevisiae; and fungi such as *Aspergillus niger*,
25 *Aspergillus ficuum*, *Aspergillus awamori*, *Aspergillus oryzae*,
Aspergillus terreus or *Aspergillus nidulans*, and various other
Aspergillus species).

Examples of phytases include phytases selected from those
30 classified under the Enzyme Classification (E.C.) numbers: 3-
phytase (3.1.3.8) and 6-phytase (3.1.3.26).

The activity of phytases can be determined as described in
"Methods of Enzymatic Analysis", third edition, 1984, Verlag
35 Chemie, Weinheim, vol. 1-10, or may be measured according to the
method described in EP-A1-0 420 358, Example 2 A.

In the present context an anti-microbial polypeptides may be any polypeptide exhibiting anti-microbial activities, such as anti-fungal, anti-bacterial, and/or anti-insecticidal activity.

5

Such polypeptides may also exhibit other activities such as enzymatic activity.

Examples of anti-microbial polypeptides according to the
10 invention include: fungicidally active polypeptides derived from the mold genus *Curvularia* described in WO 94/01459 (Novo Nordisk A/S); anti-bacterial polypeptides, described in EP 403.458 (Kabigen AB); anti-microbial proteins isolated from the *Mirabilis* seed, described in WO 92/15691 (Imperial Chem Ind. PLC); anti-
15 bacterial polypeptides isolated from an extract of pig small intestine, described in WO 92/22578 (Boman et al.); polypeptide with yeast lethal action accumulated by yeast of *Hansenula* spp. as described in JP-60130599; *Phytolacca insularis* antiviral protein, which can be used as an anti-microbial described in US
20 patent no. 5,348,865 (Jin Ro LTD.); bacteriolytic enzymes preparations derived from *Nocardioopsis dassonvillei* described in US patent no. 5,354,681 (Novo Industri A/S).

Examples of other anti-microbial polypeptides are maganinin,
25 protegrin, defensin, pseudomycin, mutanolysin and N-acetylmuramidase.

The present invention in a further aspect relates to a method for generating a DNA sequence encoding a desired variant of a
30 polypeptide of interest, wherein

- (i) a mutant library is produced by the above method,
- (ii) said library is cultivated under conditions conducive for the expression of said gene of interest to produce variant polypeptides,

- (iii) said variant polypeptides are screened or selected for a desired property, and hosts producing desired variants identified and isolated,
- (iv) the DNA encoding said variants is isolated.

5

The present invention in a still further aspect relates to a method for the determination of a DNA sequence encoding a desired variant of a polypeptide of interest, wherein

- (i) a mutant library is produced as described above,
- 10 (ii) said library is cultivated under conditions conducive for the expression of said gene of interest to produce variant polypeptides,
- (iii) said variant polypeptides are screened or selected for a desired property, and hosts producing desired variants
- 15 identified and isolated,
- (iv) said genetic element in said hosts is sequenced to elucidate the DNA sequence of the mutant gene encoding a desired variant.

20 This aspect of the invention can be performed by making dilutions of the library (e.g. in microtiter plates) and culturing these, whereby populations are made each originating from one member of the library, and the variant polypeptide produced from each of the populations screened for the desired properties.

25 Alternatively the library might be plated on agar-plates containing a desired growth medium that allows for the screening of or selection for desired properties of the variant polypeptide.

30 If the phage display method is used, the screening or selection is performed directly with the phages.

The criteria used for the selection will vary according to the end use of the polypeptide variant of interest, but properties

35 typically being tested may include solubility and half-life in various media, antigenicity and allergenicity, thermal stability, oxidation stability, storage stability, substrate specificity and

affinity, stability to non-aqueous solvents, pH profile, ionic strength dependence, catalytic efficiency, and compatibility with other components of envisaged end products wherein the polypeptide variant will form a part.

5

For enzymes to be used in detergents further properties to be investigated are, wash performance and compatibility with various surfaces, especially fabrics.

10 Numerous other criteria could be mentioned.

Upon identification of populations that produce variant polypeptides fulfilling the criteria selected, the DNA encoding the polypeptide variant of interest is isolated and sequenced by
15 use of methods well known in the art.

The invention furthermore comprises a process for the production of a desired polypeptide variant, wherein

- (i) a DNA sequence determined as indicated above is introduced
20 into a suitable host in a manner whereby it can be expressed in said host,
- (ii) said host is cultivated under conditions conducive to the expression of said DNA sequence, and
- (iii) said polypeptide variant is recovered.

25

The present invention can be used with any cell, especially any microbial cell, but it is often suitable to use a prokaryote, especially a bacterium, preferably of the genus *Bacillus*, etc.

30 Among the *Bacilli* it is preferred to use a strain chosen from the group comprising *B. lentus*, *B. licheniformis*, *B. amyloliquefaciens*, *B. subtilis*, etc.

For some uses it is preferable to use a microbial cell which is a
35 fungus, especially a filamentous fungus, preferably of the genus *Aspergillus*, *Trichoderma*, etc.

Among the *Aspergilli* it is preferable to use a strain chosen from the group comprising *A. oryzae*, *A. niger*, *A. awamori*, etc.

5 Among the *Trichoderma* it is preferable to use a strain chosen from the group comprising *T. reesei*, etc.

In yet other situations it is more expedient to use a mammalian cell chosen from the group comprising BHK, etc. cells.

10

The invention should not be construed to be limited to specific examples or embodiments mentioned in the specification above or the following examples.

15 MATERIALS AND METHODS

EXAMPLES

20

EXAMPLE 1

The system used is an *Escherichia coli* host cell, which is characterized by a number of chromosomal mutations:

- 25 i) a *ts* (thermosensitive) mutation in the *polC* gene (encoding DNA polymerase III, being the main replication polymerase)
ii) a mutation in the *polA* gene (encoding DNA polymerase I) causing an increased error rate by a reduction in the 3'-5' exonuclease activity.
30 iii) repair deficiency by the *mutL* mutation.

The target for the *in vivo* mutagenesis is plasmid pBR322 (*colE1* origin) having either (i) a frame shift mutation, or (ii) a stop codon introduced into the *tet* gene, encoding a protein conferring
35 resistance towards tetracycline.

In each case the repair of the mutation leads to a dominant tetracycline resistant phenotype.

pBR322 contains also the bla gene conferring resistance towards
5 ampicillin. The higher mutagenesis frequency at the target region
is seen as a higher frequency of tetracycline resistant colonies
after plating a culture exposed to "mutation-introauction"
conditions.

- 10 An *E. coli* culture grown at 37°C to an optical density of 1
measured at 600 nm is exposed to 2, 4 or 16 hours at restrictive
temperature, e.g. 42°C. At these time points dilution series of
the cultures are plated on LBagar supplementet with
1) ampicillin (AmpR colonies)
15 2) tetracycline and ampicillin. (AmpR and tetR colonies)

The ratio of tetracycline resistant colonies to ampicillin
resistant colonies indicate the number of cells in the culture
that contains one copy of a repaired tet gene, indicated one
20 specific mutagenesis event.

This means, if a clone have become tetracycline resistant, at
least one specific mutation has occurred to repair the originally
introduced gene defect.

REFERENCES CITED IN THE SPECIFICATION

- Greg Winter, *Current methods in Immunology* 5: 253-255, 1993
- Griffiths, A.D. et al., 1994, *EMBO J.* 14: 3245-3260.
- 5 Clackson et al., *Nature* 352: 624-628, 1991
- Bryan, P et al., *Proteins* 1: 326-334, 1986
- Sambrook et al. *Molecular Cloning: A Laboratory Manual*. Cold Spring Harbor Lab., Cold Spring Harbor, NY.
- Scopes, R.K., *Protein Purification* (1987), Springer-Verlag
- 10 Kim and Loeb (1995, *PNAS* 92: 684-688)
- Ørum, P. et al., *Nucl. Acid. Res.* 21; 4491-4498, 1993
- EP 130.756 (Genentech), EP 214.435 (Henkel), WO 87/04461 (Amgen),
WO 87/05050 (Genex), EP 251.446 (Genencor), EP 260.105
- 15 (Genencor), Thomas et al. (1985) *Nature* 318, 375-376, Thomas et al. (1987) *J. Mol. Biol.*, 193 803-813, Russel et al. (1987) *Nature* 328 496-500, WO 88/08028 (Genex), WO 88/08033 (Amgen), WO 89/06279 (Novo Nordisk A/S), WO 91/00345 (Novo Nordisk A/S), EP 525 610 (Solvay) and WO 94/02618 (Gist-Brocades N.V.), US
- 20 4,810,414, WO 89/04361, US patent no. 4,950,417 (Solvay enzymes), EP 218 272, WO 88/09367, US 5,389,536, EP 130,064, WO 90/09446), EP 238 023, WO 88/02775, WO 94/01541, WO 89/02916, Shimada et al., (1989), *J. Biochem.*, 106, 383-388, Yamaguchi et al., (1991), *Gene* 103, 61-67, Hass et al., (1991), *Gene* 109, 107-113, Kugimiya
- 25 et al., (1992) *Biosci. Biotech. Biochem* 56, 716-719, Dartois et al., (1993) *Biochemica et Biophysica acta* 1131, 253-260, JP 64/7744992, WO 91/16422, WO 93/01285, WO 95/22615, DK patent applications no. 768/93, 265/94 and 264/94 (Novo Nordisk A/S), DK patent application no. 990/94 (Novo Nordisk A/S), Klein et al.,
- 30 *Journal of Bacteriology*, 174, p. 2599-2605, Motoki et al., US 5, -156,956; Andou et al., US 5,252,469; Kaempfer et al., *Journal of General Microbiology*, 137, 1831-1892; Ochi et al., *International Journal of Sytematic Bacteriology*, 44, 285-292; Andou et al., US 5,252,469; Williams et al., *Journal of General Microbiology*, 129,
- 35 1743-1813, WO 94/01459 (Novo Nordisk A/S), EP 403.458 (Kabigen

AB), WO 92/15691 (Imperial Chem Ind. PLC), WO 92/22578 (Boman et al.), JP-60130599, US patent no. 5,348,865 (Jin Ro LTD.), US patent no. 5,354,681 (Novo Industri A/S),

s Methods of Enzymatic Analysis, third edition, 1984, Verlag Chemie, Weinheim, vol. 1-10.

AF 95/5 GB (available on request from Novo Nordisk A/S)

PATENT CLAIMS

1. A method for *in vivo* production of a library in cells comprising a multitude of mutated genetic elements, wherein an error-prone polymerase is used in each ancestral cell to replicate all or a part of a genetic element comprising
 - i) an origin of replication from which replication is initiated,
 - ii) optionally a genetic marker, e.g. a gene conferring resistance towards an antibiotic,
 - iii) a gene encoding the polypeptide of interest, independently of the host chromosomal replication machinery.
2. A method for *in vivo* production of a library in cells comprising a multitude of mutated genetic elements comprising
 - A) providing a microbial cell having
 - i) an error-prone polymerase that independently of the chromosomal replication machinery of said cell will replicate all or a part of a genetic element comprising
 - a) an origin of replication from which replication is initiated,
 - b) optionally a genetic marker, e.g. a gene conferring resistance towards an antibiotic,
 - c) a gene encoding the polypeptide of interest, and
 - ii) a chromosomal replication machinery that can be reversibly induced to be substantially non-functional,
 - B) growing such a cell under conditions conducive to its replication to obtain a multitude of ancestral cells,
 - C) reversibly inducing said chromosomal replication machinery in said ancestral cells to be substantially non-functional for a period of time sufficient to allow for the replication of said genetic element by said error-prone polymerase to generate mutations in said genetic element,

- D) reversibly inducing said chromosomal replication machinery in such mutated cells to be substantially functional, and
- E) growing such mutated cells under conditions conducive to their replication.

5

3. The method of claim 1 or 2, wherein said cell further comprises a deficient repair system.

4. The method of any of the claims 1 to 3, wherein said
10 genetic element is a plasmid, a phagemid, a phage, a virus, a retrovirus or a retrotransposon.

5. The method of any of the claims 1 to 4, wherein said cells are microbial cells.

15

6. The method of any of the claims 1 to 5, wherein said error-prone polymerase is selected from the group comprising DNA pol I, DNA pol II, reverse transcriptase and more specifically *E.coli* DNA pol I, *Bacillus subtilis* DNA pol I, HIV reverse
20 transcriptase, T4 DNA polymerase, T7 DNA polymerase, Phi29 DNA polymerase.

7. The method of any of the claims 1 to 6, wherein said chromosomal replication machinery that can be reversibly induced
25 to be substantially non-functional is a temperature sensitive *E. coli* DNA polymerase III

8. A method for the determination of a DNA sequence encoding a desired variant of a polypeptide of interest, wherein

- 30 (i) a mutant library is produced by the method of any of the claims 1 to 7, wherein said genetic element comprises a gene encoding said polypeptide of interest,
- (ii) said library is cultivated under conditions conducive for the expression of said gene of interest to produce variant
35 polypeptides,

- (iii) said variant polypeptides are screened or selected for a desired property, and hosts producing desired variants identified and isolated,
- (iv) said genetic element in said hosts is sequenced to elucidate the DNA sequence of the mutant gene encoding a desired variant.

9. A method for generating a DNA sequence encoding a desired variant of a polypeptide of interest, wherein

- (i) a mutant library is produced by the method of any of the claims 1 to 7, wherein said genetic element comprises a gene encoding said polypeptide of interest,
- (ii) said library is cultivated under conditions conducive for the expression of said gene of interest to produce variant polypeptides,
- (iii) said variant polypeptides are screened or selected for a desired property, and hosts producing desired variants identified and isolated,
- (iv) the DNA encoding said variants is isolated.

10. A process for the production of a desired polypeptide variant, wherein

- (i) a DNA sequence obtained according to claim 9 or determined according to claim 8 is introduced into a suitable host in a manner whereby it can be expressed in said host,
- (ii) said host is cultivated under conditions conducive to the expression of said DNA sequence, and
- (iii) said polypeptide variant is recovered.

11. A method of any of the claims 1 to 10, wherein said polypeptide of interest is an enzyme.

12. A method of claim 11, wherein said enzyme is a carbonyl hydrolases, carbohydrases, oxidoreductases, transferases, phytases, ligases, lyases, and anti-microbial polypeptides.

13. A method of claim 12, wherein said carbonyl hydrolase is a protease, or a lipase.

14. A method of claim 12, wherein said carbohydrase is an
5 amylase, glucosidase, cellulase, glucanase, xylanase, dextranase,
chitinase, polygalacturonase, lysozyme, glucosidase,
galactosidase, xylosidase, arabinosidase, lactase, chitonanase,
xylose isomerase, pectin esterase, rhamnogalacturonase, endo-
glucanase.

10

15. A method of claim 12, wherein said oxidoreductase is a
dehydrogenase, oxidase, reductase, Laccase, Catalase, Peroxidase,
Lipoxygenase, Superoxide dismutase.

15 16. A method of claim 12, wherein said transferase is a
transferase transferring one-carbon groups, a transferase
transferring aldehyde or residues, acyltransferase,
glucosyltransferase, transferase transferring alkyl or aryl
groups, other than methyl groups, transferase transferring
20 nitro groups.

17. A method of any of the claims 1 to 16, wherein said cell
is a prokaryote, especially a bacterium, preferably of the genus
Bacillus, *Escherichia*, *Staphylococcus*, and *Streptococcus*.

25

18. A method of claim 17, wherein said *Escherichia* is a strain
chosen from *E. coli*.

19. A method of claim 17, wherein said *Bacillus* is a strain
30 chosen from the group comprising *B. lentus*, *B. licheniformis*, *B.*
amyloliquefaciens, *B. subtilis*.

20. A method of any of the claims 1 to 16, wherein said cell
is a fungus, especially a yeast or a filamentous fungus,
35 preferably of the genus *Aspergillus*, *Trichoderma*.

21. A method of claim 20, wherein said *Aspergillus* is chosen from the group comprising *A. oryzae*, *A. niger*, *A. awamori*.
22. A method of claim 20, wherein said *Trichoderma* is chosen from the group comprising *T. reesei*.
23. A method of any of the claims 1 to 16, wherein said cell is a mammalian cell chosen from the group comprising BHK cells or an insect cell.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/DK 97/00014

A. CLASSIFICATION OF SUBJECT MATTER

IPC6: C12N 1/21, C12N 5/10

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: C12N, C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI, EDOC, MEDLINE, BIOSIS, DBA

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9007576 A1 (SETRATECH), 12 July 1990 (12.07.90) -----	

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

Z document member of the same patent family

Date of the actual completion of the international search

11 April 1997

Date of mailing of the international search report

26.04.97

Name and mailing address of the ISA/
Swedish Patent Office
Box 5055, S-102 42 STOCKHOLM
Facsimile No. +46 8 666 02 86

Authorized officer

Patrick Andersson
Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT

Information on patent family members

04/03/97

International application No.

PCT/DK 97/00014

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9007576 A1	12/07/90	AT 127519 T	15/09/95
		AU 4834390 A	01/08/90
		CA 2006549 A	26/06/90
		DE 68924174 D,T	14/03/96
		EP 0449923 A,B	09/10/91
		SE 0449923 T3	
		ES 2077058 T	16/11/95
		FR 2641793 A,B	20/07/90
		JP 4503601 T	02/07/92
-----7-----			